

PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q96287

Michael ROBERTS

PCT/JP2005/002023

-filed February 10, 2005

Appln. No.: Not assigned yet

Confirmation No.: Not assigned yet

Group Art Unit: Not assigned yet

Filed: August 2, 2006

Examiner: Not assigned yet

For: OPTIMIZATION PROCESS FOR RADIO RESOURCES ALLOCATED TO MBMS
SERVICES AND MOBILE TERMINAL FOR RECEIVING MBMS SERVICES

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Patent Application Publication No. 2004-023668, published January 22, 2004, along with English-Language Abstract;
2. Japanese Patent Application Publication No. 2001-203634, published July 27, 2001, along with English-Language Abstract;
3. Japanese Patent Application Publication No. 2004-040661, published February 5, 2004, along with English-Language Abstract;
4. Japanese Patent Application Publication No. 2004-32335, published January 29, 2004;

INFORMATION DISCLOSURE STATEMENT
U.S. Appln. to Michael ROBERTS

5. Japanese Patent Application Publication No. 2002-368684, published December 20, 2002;

6. 3GPP Group Technical Specification "Technical Realization of Cell Broadcast Service (CBS)", TS 23.041, July 18, 2006;

7. 3GPP Group Technical Specification "Multimedia Broadcast/Multicast Service (MBMS); Stage 1", TS 22.146 V5.2.0, July 18, 2006.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents. Applicant encloses herewith a copy of an International Search Report citing documents 1-5, indicating the degree of relevance found by the foreign patent office.


The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

INFORMATION DISCLOSURE STATEMENT
U.S. Appln. to Michael ROBERTS

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,


Howard L. Bernstein
Registration No. 25,665

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: August 2, 2006

Substitute for Form 1449 A & B/PTO <u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u> <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
		Application Number		Not assigned yet	
		Confirmation Number		Not assigned yet	
		Filing Date		August 2, 2006	
		First Named Inventor		Michael ROBERTS	
		Art Unit		Not assigned yet	
		Examiner Name		Not assigned yet	
		Attorney Docket Number		Q96287	
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	2004-023668	A	01-22-2004		
		JP	2001-203634	A	07-27-2001		
		JP	2004-040661	A	02-05-2004		
		JP	2004-32335	A	01-29-2004		
		JP	2002-368684	A	12-20-2002		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		3GPP Group Technical Specification "Technical Realization of Cell Broadcast Service (CBS)" TS 23.041, July 18, 2006	
		3GPP Group Technical Specification "Multimedia Broadcast/Multicast Service (MBMS), Stage 1" TS 22.146 V5.2.0, July 18, 2006	

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.